

Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan

2013

Department of the Treasury
Internal Revenue Service

This form is required to be filed under section 6058(a) of the Internal Revenue Code.
Certain foreign retirement plans are also required to file this form (see instructions).
▶ Complete all entries in accordance with the instructions to the Form 5500-EZ.

**This Form is Open
to Public Inspection.**

Part I Annual Return Identification Information

For the calendar plan year 2013 or fiscal plan year beginning (MM/DD/YYYY) and ending

- A** This return is: (1) the first return filed for the plan; (3) the final return filed for the plan;
(2) an amended return; (4) a short plan year return (less than 12 months).

B If filing under an extension of time, check this box (see instructions) ▶

C If this return is for a foreign plan, check this box (see instructions) ▶

Part II Basic Plan Information — enter all requested information.

<p>1a Name of plan</p>	<p>1b Three-digit plan number (PN) ▶</p>	
	<p>1c Date plan first became effective (MM/DD/YYYY)</p>	
<p>2a Employer's name</p> <p>Trade name of business (if different from name of employer)</p> <p>In care of name</p> <p>Mailing address (room, apt., suite no. and street, or P.O. Box)</p> <p>City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)</p>	<p>2b Employer Identification Number (EIN) (Do not enter your Social Security Number)</p>	
	<p>2c Employer's telephone number</p>	
	<p>2d Business code (see instructions)</p>	
<p>3a Plan administrator's name (If same as employer, enter "Same")</p> <p>In care of name</p> <p>Mailing address (room, apt., suite no. and street, or P.O. Box)</p> <p>City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)</p>	<p>3b Administrator's EIN</p>	
	<p>3c Administrator's telephone number</p>	
<p>4a Name of trust (optional)</p>	<p>4b Trust's EIN (optional)</p>	
<p>5 If the name and/or EIN of the employer has changed since the last return filed for this plan, enter the name, EIN, and plan number for the last return in the appropriate space provided:</p> <p>a Employer's name</p>	<p>5b</p>	<p>EIN</p>
	<p>5c</p>	<p>PN</p>
<p>6a Total number of participants at the beginning of the plan year</p>	<p>6a</p>	
<p>b Total number of participants at the end of the plan year</p>	<p>6b</p>	

Part III Financial Information

		(1) Beginning of year	(2) End of year
7a Total plan assets	7a		
b Total plan liabilities	7b		
c Net plan assets (subtract line 7b from 7a)	7c		

Part III (Continued)

		Amount
8 Contributions received or receivable from:		
a Employers	8a	
b Participants	8b	
c Others (including rollovers)	8c	

Part IV Plan Characteristics

9 Enter the applicable two-character feature codes from the List of Plan Characteristics Codes in the instructions:

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Part V Compliance and Funding Questions

		Yes	No	Amount
10 During the plan year, did the plan have any participant loans? If "Yes," enter amount as of year end	10			
11 Is this a defined benefit plan that is subject to minimum funding requirements? If "Yes," complete Schedule SB (Form 5500) and line 11a below. (See instructions.)	11			
a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500), line 39			11a	
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code? If "Yes," complete lines 12a or 12b, 12c, 12d, and 12e below, as applicable:	12			
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, enter the month, day, and year (MM/DD/YYYY) of the letter ruling granting the waiver (see instructions)				12a
b Enter the minimum required contribution for this plan year				12b
c Enter the amount contributed by the employer to the plan for this plan year				12c
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)				12d
e Will the minimum funding amount reported on line 12d be met by the funding deadline?	12e			

Caution. A penalty for the late or incomplete filing of this return will be assessed unless reasonable cause is established.

Under penalties of perjury, I declare that I have examined this return including, if applicable, any related Schedule MB (Form 5500) or Schedule SB (Form 5500) signed by an enrolled actuary, and to the best of my knowledge and belief, it is true, correct, and complete.

Sign Here ▶

Signature of employer or plan administrator	Date	Type or print name of individual signing as employer or plan administrator

Preparer's name (including firm name, if applicable) and address, including room or suite number (optional)	Preparer's telephone number (optional)